

# SINGULARITIES OF MODULI OF STABLE SHEAVES ON SOME ELLIPTIC SURFACES

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ABSTRACT. Let  $X$  be some type of elliptic surface  $X$  over  $\mathbb{C}$  with  $\kappa(X) = 1$ , and  $M(c)$  the coarse moduli scheme of rank-two stable sheaves with Chern classes  $(c_1, c_2) = (0, c)$  on  $X$ . Then  $M(c)$  allows only canonical singularities. By using it, we hope eventually to calculate the Kodaira dimension of  $M(c)$ .

## 1. INTRODUCTION

Let be  $\mathcal{O}(1)$  an ample line bundle on a non-singular projective surface  $X$  over  $\mathbb{C}$ . A torsion-free sheaf  $E$  on  $X$  is  $\mathcal{O}(1)$ -stable (resp. semistable) if for any proper subsheaf  $F$  of  $E$  one has  $\chi(F(n))/\mathrm{rk}(F) < \chi(E(n))/\mathrm{rk}(E)$  (resp.  $\leq$ ) when  $n \gg 0$ . There exists the coarse moduli scheme  $M(c)$  of  $\mathcal{O}(1)$ -stable rank-two sheaves with Chern classes  $(c_1, c_2) = (0, c) \in \mathrm{Pic}(X) \times \mathbb{Z}$  by Gieseker-Maruyama. If  $c$  is odd, then  $M(c)$  is projective over  $\mathbb{C}$ . By Donaldson and Zuo, if  $c$  is sufficiently large w.r.t.  $X$  and  $\mathcal{O}(1)$ , then  $M(c)$  is normal, l.c.i., and of dimension  $\mathrm{ext}^1(E, E)^0 - \mathrm{ext}^2(E, E)^0$  with  $E \in M(c)$ .

In this article, we shall consider the following question, and report the following theorem.

**Question 1.1.** (1) How is the birational property of  $M(c)$ , e.g. its Kodaira dimension  $\kappa(M(c))$ ? (2) Does  $M(c)$  allow only canonical singularities?

(See Definition 2.1 for definition of terms)

**Theorem 1.2.** *Let  $X$  be a minimal elliptic surface over  $\mathbf{P}^1$  s.t. (i)  $\chi(\mathcal{O}_X) = 1$ , (ii) its singular fibers are either rational integral curve with one node ( $I_1$ ) or multiple fiber with smooth reduction ( $nI_0$ ), and (iii)  $X$  has just two multiple fibers with multiplicities  $(2, m)$ , where  $m$  is odd and  $m \geq 3$ . In particular,  $\kappa(X)$  is 1. Let  $\mathcal{O}(1)$  be  $c$ -suitable, that is,  $\mathcal{O}(1)$  is so close to the fiber class  $\mathfrak{f}$  of the elliptic fibration  $X \rightarrow \mathbf{P}^1$ , that  $\mathcal{O}(1)$  and  $\mathfrak{f}$  is not divided by any  $c$ -wall ([1, Def. 2.1]).*

*Then  $M(c)$  admits only canonical singularities.*

For some history of Question 1.1, see Section 3. As explained there, this question is settled mainly in the one case where  $p_g(X) \neq 0$  and one can use generically non-degenerate two-forms, or in the another case where moduli of sheaves is related to

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some more-clarified scheme, e.g. Hilbert scheme of points, but neither case fits the situation of Theorem 1.2. Expecting to calculate Kodaira dimension of  $M(c)$  by using its original definition and Theorem 1.2, the author is now trying to estimate the dimension of pluricanonical maps of  $M(c)$ , and hopes to report it somewhere else. We end with notifying that such a plan worked well in the following.

**Fact 1.3.** [6, Y] *Let  $M$  be a moduli scheme of stable sheaves with fixed Chern classes on an Enriques surface or a hyper-elliptic surface. If its expected dimension is not less than 7, then  $M$  admits only canonical singularities. Moreover, if  $M$  is compact, then its Kodaira dimension is zero. Also the characteristic of singular points of  $M$  is obtained at [6, Lem. 13(a)].*

**Notation.** For a line bundle  $L$ ,  $\text{Ext}^i(E, E \otimes L)^\circ$  denotes the kernel of trace map  $\text{Ext}^i(E, E \otimes L) \rightarrow H^i(L)$ . Denote  $\dim \text{Ext}^i(E, F)$  and  $\dim \text{Ext}^i(E, E \otimes L)^\circ$  by  $\text{ext}^i(E, F)$  and  $\text{ext}^i(E, E \otimes L)^\circ$  respectively.

## 2. IDEAS IN THE PROOF OF THEOREM 1.2

Let us begin with recalling the definition of some terms.

**Definition 2.1.** (1) Given any variety  $V_0$ , define its *Kodaira dimension*  $\kappa(V_0)$  to be  $\max\{\dim \Phi_{mK_{\tilde{V}}} \mid m \in \mathbb{N}\}$ , where  $\tilde{V}$  is a desingularization of a completion of  $V_0$ . Kodaira dimension is birational invariant.

(2) A normal variety  $V$  is said to admit only *canonical singularities* when (i)  $K_V$  is  $\mathbb{Q}$ -Cartier, and (ii) if  $\phi : \tilde{V} \rightarrow V$  is a desingularization with except divisors  $E_i$ , then

$$K_{\tilde{V}} = \phi^* K_V + \sum_i a_i E_i \quad (a_i \geq 0).$$

When  $V$  does so and  $V$  is complete,  $\kappa(V)$  equals  $\max\{\dim \Phi_{mK_{\tilde{V}}} \mid m \in \mathbb{N}\}$ , so we need not consider its desingularization  $\tilde{V}$  in calculating  $\kappa(V)$ .

**Lemma 2.2.** *Under assumptions in Theorem 1.2, any sheaf  $E \in M$  satisfies that  $\text{ext}^2(E, E)^\circ = \text{hom}(E, E(K_X))^\circ \leq 1$ .*

The next fact results from deformation theory of sheaves and singularities theory.

**Fact 2.3** ([6] Lem. 2.5.). *Let  $E$  be a stable sheaf on a projective surface.*

(1) *If  $\text{hom}(E, E(K_X))^\circ = 0$ , then moduli  $M$  is non-singular at  $E$ .*

(2) *Suppose  $\text{hom}(E, E(K_X))^\circ = 1$  so  $\text{Hom}(E, E(K_X))^\circ = \mathbb{C} \cdot f$ . Then  $f : E \rightarrow E(K_X)$  define a map  $H^1(f_-) : \text{Ext}^1(E, E) \rightarrow \text{Ext}^1(E, E(K_X))$  by  $H^1(f_-)(\alpha) = f \circ \alpha - \alpha \circ f$ . If  $\text{rk} H^1(f_-) \geq 3$ , then  $M$  admits only canonical singularity at  $E$ .*

Thus it's important to estimate  $\text{rk} H^1(f_-)$ . Let  $k(\mathbf{P}^1)$  denote the function field of  $\mathbf{P}^1$  and  $\overline{k(\mathbf{P}^1)}$  its algebraic closure. We set  $\eta = \eta(\mathbf{P}^1) = \text{Spec}(k(\mathbf{P}^1))$ ,  $\bar{\eta} = \text{Spec}(\overline{k(\mathbf{P}^1)})$ ,  $X_\eta = X \times_{\mathbf{P}^1} \eta$ , and  $X_{\bar{\eta}} = X \times_{\mathbf{P}^1} \bar{\eta}$ .  $X_{\bar{\eta}}$  is a nonsingular elliptic

curve over  $\bar{\eta}$ . Any sheaf  $F$  on  $X$  induces  $F_\eta$  on  $X_\eta$ , and  $F_{\bar{\eta}}$  on  $X_{\bar{\eta}}$ . For  $E \in M(c)$ ,  $E_{\bar{\eta}}$  is degree-zero semi-stable vector bundle on  $X_{\bar{\eta}}$  since  $\mathcal{O}(1)$  is  $c$ -suitable, and so Atiyah's classification of vector bundles on an elliptic curve deduces the following.

**Lemma 2.4.** *For  $E \in M(c)$ , one of the following holds:*

- (A)  $E_{\bar{\eta}}$  is decomposable, that is,  $E_{\bar{\eta}} \simeq \mathcal{O}_{X_{\bar{\eta}}}(F) \oplus \mathcal{O}_{X_{\bar{\eta}}}(-F)$  on  $X_{\bar{\eta}}$ . Moreover,
  - (A-1)  $\mathcal{O}_{X_{\bar{\eta}}}(F)$  is not rational over  $k(\mathbf{P}^1)$ . Let  $C \rightarrow \mathbf{P}^1$  be the double cover consisting of nonsingular curves which corresponds to the stabilizer subgroup of  $\mathcal{O}_{X_{\bar{\eta}}}(F)$  in  $\text{Gal}(\overline{k(\mathbf{P}^1)}/k(\mathbf{P}^1))$ . Then  $\mathcal{O}_{X_{\bar{\eta}}}(F)$  is rational over  $\eta' = \text{Spec}(k(C))$ .
  - (A-2)  $\mathcal{O}_{X_{\bar{\eta}}}(F)$  is rational over  $k(\mathbf{P}^1)$ .
- (B)  $E_{\bar{\eta}}$  is indecomposable on  $X_{\bar{\eta}}$ .

Let  $E$  be a singular point of  $M(c)$ , and then there exists a traceless homomorphism  $f : E \rightarrow E(K_X)$  by Fact 2.3 (1). We study  $E$  and  $f$  with Lemma 2.4 in mind, and get the following.

**Proposition 2.5.** *Under assumptions in Theorem 1.2, any singular point  $E \in M(c)$  satisfies the following: In Lemma 2.4, only Case (A-1) occurs; any traceless homomorphism  $f : E \rightarrow E(K_X)$  satisfies  $\det f \neq 0$ ; the determinant  $\det f \in \Gamma(2K_X)$  induces double covers  $C \rightarrow \mathbf{P}^1$  and  $\gamma : Y = X \times_{\mathbf{P}^1} C \rightarrow \mathbf{P}^1$ , and decompositions of  $\gamma^*E$  on  $Y$*

$$(1) \quad 0 \longrightarrow F_{\pm} \longrightarrow \gamma^*E \longrightarrow G_{\pm} \longrightarrow 0,$$

that extend decompositions of  $E_{\bar{\eta}}$  on  $X_{\bar{\eta}}$

$$0 \longrightarrow \text{Ker}(f \pm s) \longrightarrow E_{\bar{\eta}} \longrightarrow \text{Im}(f \pm s) \longrightarrow 0,$$

where  $\pm s$  are eigenvalues of  $f_{\bar{\eta}} : E_{\bar{\eta}} \rightarrow E(K_X)_{\bar{\eta}} \simeq E_{\bar{\eta}}$ .

To estimate the rank of  $H^1(f_-) : \text{Ext}^1(E, E) \rightarrow \text{Ext}^1(E, E(K_X))$ , we look into  $R\text{Hom}(F_{\pm}, G_{\pm})$ , and so on. Since only Case (A-1) occurs by Proposition 2.5, subsheaves  $F_{\pm} \subset \gamma^*E$  do not descend to subsheaves of  $E$ . Consequently several cohomology groups coming from  $R\text{Hom}(F_{\pm}, G_{\pm})$  etc. vanish, and thus we can obtain good estimation of  $\text{rk}H^1(f_-)$  from below. In such a way, we can prove Theorem 1.2.

### 3. APPENDIX: HISTORY OF QUESTION 1.1

Here we note some historical background of Question 1.1; refer to [4, Section 11] for more. When  $X$  is a minimal surface with  $K_X = 0$ , i.e. a  $K3$  surface or a torus, moduli scheme  $M$  of rank-two stable sheaves is of Kodaira dimension zero by [4, Thm. 11.1.7.]. If  $X$  is a minimal surface of general type, the expected dimension of moduli scheme  $\text{ext}^1(E, E)^0 - \text{ext}^2(E, E)^0$  is even, and  $|K_X|$  contains a reduced curve, then  $M$  is of general type for  $c_2 \gg 0$  by [5]. In these works, they

utilize generically non-degenerate two-forms, a generalization of symplectic forms introduced by Mukai. When  $X$  is an Enriques surface or hyper-elliptic, see Fact 2.3.

Let  $X$  be an elliptic surface. When  $c_1(E) \cdot \mathfrak{f}$  is odd,  $M$  is non-singular. If in addition  $X$  has just two multiple fibers, then moduli  $M$  is birationally equivalent to  $\text{Sym}^t(J^{e+1}(X))$  by [2, Thm. 3.14], where  $c_1(E) \cdot \mathfrak{f} = 2e + 1$ . This work uses the fact that  $E \in M$  is obtained by a sequence of elementary transforms of a special sheaf  $V_0$  s.t.  $V_0|_f$  is stable for *every* fiber  $f$ .

When  $c_1 \cdot \mathfrak{f}$  is even and  $X$  is an elliptic surface over  $\mathbf{P}^1$  with just two multiple fibers (plus some conditions), then  $M$  birationally fibers over some projective space whose fibers are isomorphic to finite union of Jacobian of some hyperelliptic curves by [1, Section 7]. They construct this fibration using the spectral cover induced by a stable sheaf (cf. [3, p. 229]). Some upper bound of  $\kappa(M)$  is obtained there, but  $\kappa(M)$  itself is still unknown.

Question 1.1 is unsolved yet in the following cases: (a)  $X$  is an Enriques surface, but moduli of stable sheaves is not compact. (b)  $X$  is of Kodaira dimension one, but  $c_1(E) \cdot \mathfrak{f}$  is even. (c)  $X$  is of general type, but conditions in [5] do not hold; for example, the expected dimension of moduli is odd, or  $p_g(X) = 0$ . (d) Most of results above holds when  $c_2 \gg 0$ . How is the case where  $c_2$  is not sufficiently large?

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