SYMMETRIZER GROUP OF A PROJECTIVE HYPERSURFACE

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ABSTRACT. To each projective hypersurface which is not a cone, we associate an abelian linear algebraic group called the symmetrizer group of the corresponding symmetric form. This group describes the set of homogeneous polynomials with the same Jacobian ideal and gives a conceptual explanation of results by Ueda–Yoshinaga and Wang. In particular, the diagonalizable part of the symmetrizer group detects Sebastiani-Thom property of the hypersurface and its unipotent part is related to the singularity of the hypersurface.

Keywords: projective hypersurface, Jacobian ideal, Sebastiani-Thom type MSC2020: 14J70, 14J17

1. INTRODUCTION

We work over the complex numbers. Throughout, we fix an integer $d \geq 3$ and a vector space V with dim $V = n \geq 2$. Let $\mathbb{P}V$ be the projectivization of V, the set of 1-dimensional subspaces of V. For a nonzero vector $v \in V$, we denote by $[v] \in \mathbb{P}V$ the 1-dimensional subspace $\mathbb{C}v \subset V$. We regard the vector space $\operatorname{Sym}^d V^*$ of symmetric *d*-forms on V as the subspace of the vector space $\otimes^d V^*$ of *d*-linear forms on V, which consists of all $F \in \otimes^d V^*$ satisfying

$$F(v_1,\ldots,v_d) = F(v_{\sigma(1)},\ldots,v_{\sigma(d)})$$

for any permutation σ of $\{1, \ldots, d\}$. Denote by $Z(F) \subset \mathbb{P}V$ the hypersurface defined by the homogenous polynomial of degree d corresponding to F.

Definition 1.1. (i) For $F \in \text{Sym}^d V^*$, define the homomorphism $\partial F : V \to \text{Sym}^{d-1} V^*$, called the *Jacobian* of F, by

$$(\partial F(u))(v_1, \dots, v_{d-1}) := F(u, v_1, \dots, v_{d-1})$$

for all $u, v_1, \ldots, v_{d-1} \in V$.

- (ii) We say that F is nondegenerate if $\operatorname{Ker}(\partial F) = 0$, equivalently, if the hypersurface Z(F) is not a cone. Denote by $\operatorname{Sym}_o^d V^*$ the Zariski-open subset of $\operatorname{Sym}^d V^*$ consisting of nondegenerate forms.
- (iii) Let $\operatorname{Gr}(n; \operatorname{Sym}^{d-1} V^*)$ be the Grassmannian of *n*-dimensional subspaces in the vector space $\operatorname{Sym}^{d-1} V^*$. For a nondegenerate form $F \in \operatorname{Sym}_o^d V^*$, the dimension of $\operatorname{Im}(\partial F)$ is *n*. Let J(F) be the point

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in $\operatorname{Gr}(n; \operatorname{Sym}^{d-1} V^*)$ corresponding to the *n*-dimensional subspace $\operatorname{Im}(\partial F)$. This defines a morphism

$$J: \operatorname{Sym}_{o}^{d} V^{*} \to \operatorname{Gr}(n; \operatorname{Sym}^{d-1} V^{*}).$$

Historically, the interest in the morphism J arose from the study of variation of Hodge structures for families of hypersurfaces. In particular, Carlson and Griffiths showed in Section 4.b of [1] that $J^{-1}(J(F)) = \mathbb{C}^{\times} \cdot F$ for a general $F \in \operatorname{Sym}_o^d V^*$. Obvious examples satisfying $J^{-1}(J(F)) \neq \mathbb{C}^{\times} \cdot F$ are symmetric forms of Sebastiani-Thom type in the following sense.

Definition 1.2. An element $F \in \text{Sym}^d V^*$ is of Sebastiani-Thom type, if there is a direct sum decomposition $V = V_1 \oplus \cdots \oplus V_k$ with $k \ge 2$ such that $F = F_1 + \cdots + F_k$ for some $F_i \in \text{Sym}^d V_i^*, 1 \le i \le k$. More precisely, this means that for each $1 \le i \ne j \le k$ and $u \in V_i, w \in V_j$,

$$F_i(u, w, v_1, \ldots, v_{d-2}) = 0$$

for all $v_1, \ldots, v_{d-2} \in V$.

For $F = F_1 + \cdots + F_k \in \operatorname{Sym}_o^d V^*$ of Sebastiani-Thom type, we have

$$J(F) = J(c_1F_1 + \dots + c_kF_k)$$

for any $c_1, \ldots, c_k \in \mathbb{C}^{\times}$. Thus $J^{-1}(J(F)) \neq \mathbb{C}^{\times} \cdot F$. Ueda and Yoshinaga proved the following in [3].

Theorem 1.3. Let $F \in \text{Sym}_o^d V^*$ be such that the hypersurface $Z(F) \subset \mathbb{P}V$ is nonsingular. Then $J^{-1}(J(F)) \neq \mathbb{C}^{\times} \cdot F$ if and only if F is of Sebastiani-Thom type.

By this result, the key issue in understanding symmetric forms satisfying $J^{-1}(J(F)) \neq \mathbb{C}^{\times} \cdot F$ is to study its relation with the singularity of the hypersurface Z(F). In this direction, Wang proved in [4] the following result.

Theorem 1.4. Let $F \in \text{Sym}_o^d V^*$ be such that $J^{-1}(J(F)) \neq \mathbb{C}^{\times} \cdot F$ and F is not of Sebastiani-Thom type. Then the hypersurface Z(F) has a singular point of multiplicity d-1, namely, there is a nonzero vector $u \in V$ such that

$$F(u, u, v_1, \ldots, v_{d-2}) = 0$$
 for all $v_1, \ldots, v_{d-2} \in V$.

Of course, Theorem 1.4 is reduced to Theorem 1.3 when d = 3, but for $d \ge 4$, it gives additional information on the singularity of Z(F).

The proofs of Theorem 1.3 and Theorem 1.4 in [3] and [4] are computational. Our main result is a geometric description of the fibers of the morphism J, which gives a more conceptual explanation of Theorems 1.3 and 1.4. More precisely, we describe the fibers of J as follows.

Theorem 1.5. Let $x \in \text{Im}(J) \subset \text{Gr}(n; \text{Sym}^{d-1}V^*)$ be a point in the image of the morphism J. Then there is a connected abelian algebraic subgroup $G_x \subset \text{GL}(V)$ canonically associated to x, which contains $\mathbb{C}^{\times} \cdot \text{Id}_V$, such that the fiber $J^{-1}(x)$ is a principal homogeneous space of the group G_x . By the classification of connected abelian groups (Theorems 3.1.1 and 3.4.7 of [2]), we have a decomposition into direct product of algebraic groups

$$G_x/(\mathbb{C}^{\times} \cdot \mathrm{Id}_V) = G_x^{\times} \times G_x^+,$$

where G_x^{\times} is a diagonalizable group (an algebraic torus) and G_x^+ is a vector group. We have the corresponding decomposition of the Lie algebra

$$\mathfrak{g}_x/(\mathbb{C}\cdot\mathrm{Id}_V) \;=\; \mathfrak{g}_x^{ imes}\oplus\mathfrak{g}_x^+.$$

We prove the following, which is a refinement of Theorems 1.3 and 1.4.

Theorem 1.6. In the setting of Theorem 1.5,

- (i) any element $F \in J^{-1}(x)$ is of Sebastiani-Thom type if and only if $\mathfrak{g}_x^{\times} \neq 0$; and
- (ii) if $\mathfrak{g}_x^+ \neq 0$, then there is $0 \neq u \in V$ such that [u] is a point of multiplicity d-1 on the hypersurface Z(F) for all $F \in J^{-1}(x)$.

The diagonalizable part G_x^{\times} of the group G_x is well-explained by Theorem 1.6 (i), but the unipotent part G_x^+ is not fully described by (ii). It would be interesting to find more geometric consequences of the unipotent part G_x^+ . We obtain the following result in this direction.

Theorem 1.7. In the setting of Theorem 1.5, assume that for some $F \in J^{-1}(x)$, the hypersurface $Z(F) \subset \mathbb{P}V$ has only finitely many singular points of multiplicity d-2. (This is the case, for example, if Z(F) has only isolated singularities.)

- (i) The number of points in $\mathbb{P}g_x^+$ corresponding to nonzero elements $h \in \operatorname{End}(V)$ satisfying $h^2 = 0$ is less than or equal to the number of singular points of multiplicity d-2 on Z(F).
- (ii) For any $f \in \mathfrak{g}_x^+$, we have $f^3 = 0$.

It would be interesting to investigate how big dim \mathfrak{g}_x^+ can be, especially in the setting of Theorem 1.7.

The proof of Theorem 1.5 is rather simple, once one realizes what the group G_x should be. We describe the group G_x and prove Theorem 1.5 in Section 2. Theorems 1.6 and 1.7 are proved in Section 3.

2. Symmetrizer group of a symmetric form

Definition 2.1. For $F \in \text{Sym}^d V^*$ and $g \in \text{End}(V)$, define $F^g \in \bigotimes^d V^*$ by

$$F^{g}(v_{1},\ldots,v_{d}) := F(g \cdot v_{1},v_{2},\ldots,v_{d}) \text{ for } v_{1},\ldots,v_{d} \in V.$$

We say that g is a symmetrizer of F if $F^g \in \operatorname{Sym}^d V^*$, namely,

$$F(g \cdot v_1, v_2, v_3, \dots, v_d) = F(v_1, g \cdot v_2, v_3, \dots, v_d)$$

= $F(v_1, v_2, g \cdot v_3, \dots, v_d)$
= \cdots
= $F(v_1, v_2, v_3, \dots, g \cdot v_d).$

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The subspace $\mathfrak{g}_F \subset \operatorname{End}(V)$ of all symmetrizers of F is called the symmetrizer algebra of F and the intersection $G_F := \mathfrak{g}_F \cap \operatorname{GL}(V)$ is called the symmetrizer group of F.

The two names, the symmetrizer algebra and the symmetrizer group, in Definition 2.1 are justified by the next two propositions.

Proposition 2.2. In Definition 2.1, the following holds.

- (i) If g, h ∈ g_F, then g ∘ h ∈ g_F. In particular, the vector space g_F is a subalgebra under the composition in End(V), hence a Lie subalgebra of End(V).
- (ii) If F is nondegenerate, then $g \circ h = h \circ g$ for any $g, h \in \mathfrak{g}_F$, namely, the Lie algebra \mathfrak{g}_F is abelian.
- (iii) If $h \in \mathfrak{g}_F$, then $F(u, w, v_1, \dots, v_{d-2}) = 0$ for any $u \in \mathrm{Im}(h), w \in \mathrm{Ker}(h)$ and $v_1, \dots, v_{d-2} \in V$.

Proof. Write $gh = g \circ h$ for simplicity. Then for $g, h \in \mathfrak{g}_F$,

$$F(gh \cdot v_1, v_2, v_3, \dots, v_d) = F(h \cdot v_1, v_2, g \cdot v_3, \dots, v_d)$$

= $F(v_1, h \cdot v_2, g \cdot v_3, \dots, v_d)$
= $F(v_1, gh \cdot v_2, v_3, \dots, v_d).$

Thus $gh \in \mathfrak{g}_F$, proving (i).

Now assume that F is nondegenerate. Then

$$F(gh \cdot v_1, v_2, v_3, \dots, v_d) = F(h \cdot v_1, g \cdot v_2, v_3, \dots, v_d)$$

= $F(v_1, hg \cdot v_2, v_3, \dots, v_d)$
= $F(hg \cdot v_1, v_2, v_3, \dots, v_d),$

where the last equality uses (i). Thus $F((hg - gh) \cdot v_1, v_2, \ldots, v_d) = 0$ for all $v_1, \ldots, v_d \in V$. By the nondegeneracy of F, this implies hg = gh, proving (ii).

To prove (iii), write $u = h \cdot u'$ for some $u' \in V$. Then for $w \in \text{Ker}(h)$,

$$F(u, w, v_1, \dots, v_{d-2}) = F(h \cdot u', w, v_1, \dots, v_{d-2})$$

= $F(u', h \cdot w, v_1, \dots, v_{d-2}) = 0.$

Proposition 2.3. For $F \in \text{Sym}^d V^*$, the intersection $G_F = \mathfrak{g}_F \cap \text{GL}(V)$ is a connected subgroup of GL(V), corresponding to the Lie subalgebra $\mathfrak{g}_F \subset$ $\text{End}(V) = \mathfrak{gl}(V)$. It is an abelian group if F is nondegenerate.

Proof. Since G_F is a Zariski open subset in the vector space \mathfrak{g}_F , it is connected. To check that it is a subgroup, it suffices to show, by Proposition 2.2, that $g \in G_F$ implies $g^{-1} \in G_F$. For $v_i \in V$, write $u_i := g^{-1} \cdot v_i$. Then

$$F(g^{-1} \cdot v_1, v_2, v_3, \dots, v_d) = F(u_1, g \cdot u_2, v_3, \dots, v_d)$$

= $F(g \cdot u_1, u_2, v_3, \dots, v_d)$
= $F(v_1, g^{-1} \cdot v_2, v_3, \dots, v_d).$

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This shows that $g^{-1} \in G_F$.

The proof of the following proposition is straightforward.

Proposition 2.4. When $F = F_1 + \cdots + F_k \in \text{Sym}^d V^*$ is of Sebastiani-Thom type in the notation of Definition 1.2,

$$\mathfrak{g}_F = \mathfrak{g}_{F_1} \oplus \cdots \oplus \mathfrak{g}_{F_k}$$
 and $G_F = G_{F_1} \times \cdots \times G_{F_k}$,

where the products mean those coming from the decomposition $V = V_1 \oplus \cdots \oplus V_k$.

Proposition 2.5. For $F \in \text{Sym}^d V^*$ and $g \in G_F$,

(i)
$$G_{F^g} = G_F$$
;

- (ii) $\operatorname{Ker}(\partial F^g) = g^{-1} \cdot \operatorname{Ker}(\partial F); and$
- (iii) F^g is nondegenerate if and only if F is nondegenerate.

Assume that F is nondegenerate, then

(iv) $F = F^g$ if and only if $g = \text{Id}_V$; and (v) $J(F) = J(F^g)$.

Proof. To prove (i), pick $h \in G_F$. Then

$$F^g(h \cdot v_1, \dots, v_d) = F(gh \cdot v_1, \dots, v_d)$$

is symmetric in v_1, \ldots, v_d because $gh \in G_F$. Thus $h \in G_{F^g}$, proving $G_F \subset G_{F^g}$. In particular, if $f \in G_{F^g}$, then $g^{-1}f \in G_{F^g}$. Consequently,

$$F(f \cdot v_1, \dots, v_d) = F^g(g^{-1}f \cdot v_1, \dots, v_d)$$

is symmetric in v_1, \ldots, v_d . This shows $f \in G_F$, proving $G_{F^g} \subset G_F$. Note that $u \in \text{Ker}(\partial F^g)$ if and only if

$$F^{g}(u, v_{1}, \dots, v_{d-1}) = F(g \cdot u, v_{1}, \dots, v_{d-1}) = 0$$
 for all $v_{1}, \dots, v_{d-1} \in V$.

This is equivalent to saying $g \cdot u \in \text{Ker}(\partial F)$. This proves (ii). (iii) is immediate from (ii).

Now assume that F is nondegenerate and $F = F^g$ for some $g \in G_F$. Then

$$0 = F(v_1, v_2, \dots, v_d) - F(g \cdot v_1, v_2, \dots, v_d) = F((\mathrm{Id}_V - g) \cdot v_1, v_2, \dots, v_d)$$

for all $v_1, v_2, \ldots, v_{d-1} \in V$. By the nondegeneracy of F, this implies $g = \text{Id}_V$, proving (iv).

To check (v), for each $u \in V$ and $v_1, \ldots, v_{d-1} \in V$,

$$(\partial F(u))(v_1,\ldots,v_{d-1}) = F(u,v_1,\ldots,v_{d-1}) = F^g(g^{-1} \cdot u,v_1,\ldots,v_{d-1}).$$

This means $\partial F(u) = \partial F^g(g^{-1} \cdot u)$. Thus $\operatorname{Im}(\partial F) = \operatorname{Im}(\partial F^g)$, implying $J(F) = J(F^g)$.

The following is the converse of Proposition 2.5 (iv).

Proposition 2.6. Let $F, \widetilde{F} \in \text{Sym}^d V^*$ be nondegenerate symmetric forms satisfying $J(F) = J(\widetilde{F})$. Then there exists $g \in G_F$ such that $\widetilde{F} = F^g$.

Proof. Let $g \in GL(V)$ be the composite

$$V \xrightarrow{\partial F} \operatorname{Im}(\partial \widetilde{F}) = \operatorname{Im}(\partial F) \xrightarrow{(\partial F)^{-1}} V.$$

Then $g \in G_F$ and $F^g = \widetilde{F}$ because

$$F^{g}(v_{1}, v_{2}, \dots, v_{d}) = F(g \cdot v_{1}, v_{2}, \dots, v_{d})$$

$$= (\partial F(g \cdot v_{1}))(v_{2}, \dots, v_{d})$$

$$= (\partial F \circ g(v_{1}))(v_{2}, \dots, v_{d})$$

$$= (\partial \widetilde{F}(v_{1}))(v_{2}, \dots, v_{d})$$

$$= \widetilde{F}(v_{1}, v_{2}, \dots, v_{d})$$

for all $v_1, \ldots, v_d \in V$.

The following direct corollary of Propositions 2.5 and 2.6 implies Theorem 1.5.

Corollary 2.7. For each point $x \in \text{Im}(J) \subset \text{Gr}(n; \text{Sym}^{d-1}V^*)$, define $G_x := G_F \subset \text{GL}(V)$ for any $F \in J^{-1}(x)$. Then G_x does not depend on the choice of $F \in J^{-1}(x)$ and the fiber $J^{-1}(x)$ is a principal homogeneous space of G_x .

3. DIAGONALIZABLE AND UNIPOTENT COMPONENTS OF THE SYMMETRIZER GROUP

In this section, we fix a nondegenerate form $F \in \operatorname{Sym}^d V^*$. The connected abelian group $G_F/(\mathbb{C}^{\times} \cdot \operatorname{Id}_V)$ has a canonical decomposition

$$G_F/(\mathbb{C}^{\times} \cdot \mathrm{Id}_V) = G_F^{\times} \times G_F^+$$

where G_F^{\times} is an algebraic torus and G_F^+ is a vector group. Let

$$\mathfrak{g}_F/(\mathbb{C}\cdot\mathrm{Id}_V)=\mathfrak{g}_F^{ imes}\oplus\mathfrak{g}_F^+$$

be the corresponding decomposition of the Lie algebra, where \mathfrak{g}_F^{\times} (resp. \mathfrak{g}_F^+) consists of semi-simple (resp. nilpotent) elements. The next proposition implies Theorem 1.6 (i).

Proposition 3.1. If $\mathfrak{g}_F^{\times} \neq 0$, then F is of Sebastiani-Thom type. More precisely, let

$$V = V_1 \oplus \cdots \oplus V_k, \ k \ge 2$$

be the decomposition into distinct weight spaces of the diagonalizable subgroup $\widetilde{G}_F^{\times} \subset G_F \subset \operatorname{GL}(V)$, which is the inverse image of the diagonalizable subgroup G_F^{\times} . Then $F = F_1 + \cdots + F_k$ for some $F_i \in \operatorname{Sym}^d V_i^*$.

Proof. We claim that if $v_i \in V_i$ and $v_j \in V_j$ for $i \neq j$, then

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 $F(v_i, v_j, u_1, \dots, u_{d-2}) = 0$ for any $u_1, \dots, u_{d-2} \in V$.

By the claim, if we set $F_i = F|_{V_i}$, then we obtain $F = F_1 + \cdots + F_k$.

To prove the claim, let λ_i be the weight of V_i for $1 \leq i \leq k$. Then for any $g \in G_F^{\times},$

$$\lambda_i(g)F(v_i, v_j, u_1, \dots, u_d) = F(g \cdot v_i, v_j, u_1, \dots, u_{d-2})$$

= $F(v_i, g \cdot v_j, u_1, \dots, u_{d-2})$
= $\lambda_j(g)F(v_i, v_j, u_1, \dots, u_{d-2}).$
 $\mu \neq \lambda_j$, the claim follows.

Since $\lambda_i \neq \lambda_j$, the claim follows.

Propositions 2.4 and 3.1 show that the genuinely interesting part of the symmetrizer group is G_F^+ . The next proposition implies Theorem 1.6 (ii).

Proposition 3.2. Assume that $\mathfrak{g}_F^+ \neq 0$.

- (i) There exists at least one nonzero element $h \in \mathfrak{g}_F^+$ satisfying $h^2 = 0$.
- (ii) For $0 \neq h \in \mathfrak{g}_F^+$ satisfying $h^2 = 0$, every point of $\mathbb{P}\mathrm{Im}(h) \subset \mathbb{P}V$ is a singular point of Z(F) with multiplicity d-2.

Proof. Pick $0 \neq f \in \mathfrak{g}_F^+$. All powers $f^k, k \geq 2$, belong to \mathfrak{g}_F^+ by Proposition 2.2. Since f is nilpotent, there is an integer $\ell > 1$ satisfying $f^{\ell} = 0$. Then $h := f^{\ell-1}$ satisfies $h^2 = 0$. This proves (i).

In (ii), from Proposition 2.2 (iii) and $\text{Im}(h) \subset \text{Ker}(h)$, we have

$$F(u, u, v_1, \ldots, v_{d-2}) = 0$$

for all $u \in \text{Im}(h)$ and $v_1, \ldots, v_{d-2} \in V$. Thus $[u] \in \mathbb{P}V$ is a singular point of Z(F) with multiplicity d-2.

We reformulate Theorem 1.7 as follows.

Theorem 3.3. Assume that Z(F) has only finitely many singular points of multiplicity d-2.

- (i) If $0 \neq h \in \mathfrak{g}_F^+$ satisfies $h^2 = 0$, then dim Im(h) = 1. (ii) If $h, \tilde{h} \in \mathfrak{g}_{F_{\sim}}^+$ are nonzero elements satisfying $h^2 = \tilde{h}^2 = 0$ and $\operatorname{Im}(h) = \operatorname{Im}(\widetilde{h}), \text{ then } [h] = [\widetilde{h}] \in \mathbb{P}\mathfrak{g}_F^+.$
- (iii) The number of points in $\mathbb{P}\mathfrak{g}_F^+$ corresponding to nonzero elements $h \in$ \mathfrak{g}_F^+ satisfying $h^2=0$ is less than or equal to the number of singular points of multiplicity d - 2 on Z(F). (iv) For any $f \in \mathfrak{g}_F^+$, we have $f^3 = 0$.

Proof. By Proposition 3.2, if $h^2 = 0$, then every point on \mathbb{P} Im(h) is a singular point of Z(F) with multiplicity d-2. By the assumption that there are only finitely many singular points of multiplicity d - 2, we see dim Im(h) = 1, proving (i).

To prove (ii), pick a nonzero element $u \in \text{Im}(h) = \text{Im}(h)$. By Proposition 2.2 (iii), for all $v_1, \ldots, v_{d-2} \in V$,

$$F(u, \operatorname{Ker}(h), v_1, \dots, v_{d-2}) = 0 = F(u, \operatorname{Ker}(h), v_1, \dots, v_{d-2}).$$

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If $V = \operatorname{Ker}(h) + \operatorname{Ker}(\tilde{h})$, we have $F(u, V, v_1, \dots, v_{d-2}) = 0$, a contradiction to the nondegeneracy of F. Thus $V \neq \operatorname{Ker}(h) + \operatorname{Ker}(\tilde{h})$, which implies $\operatorname{Ker}(h) = \operatorname{Ker}(\tilde{h})$. It follows that $[h] = [\tilde{h}]$.

(iii) follows from (ii), because $\mathbb{P}\text{Im}(h) \in \mathbb{P}V$ is a singular point of Z(F) with multiplicity d-2 by Proposition 3.2 (ii).

To prove (iv), assume the contrary that for some $f \in \mathfrak{g}_F^+$ and an integer $\ell \geq 4$, the elements $f, f^2, \ldots, f^{\ell-1}$ are nonzero and $f^\ell = 0$. Then $h := f^{\ell-1}$ and $\tilde{h} := f^{\ell-2}$ satisfy $h^2 = \tilde{h}^2 = 0$. Since $\operatorname{Im}(h) \subset \operatorname{Im}(\tilde{h})$, we see by (i) and (ii) that $\tilde{h} = ch$ for some $c \in \mathbb{C}^{\times}$. In other words, we have $f^{\ell-2} = cf^{\ell-1}$. Hence $f^{\ell-1} = cf^{\ell} = 0$, a contradiction.

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